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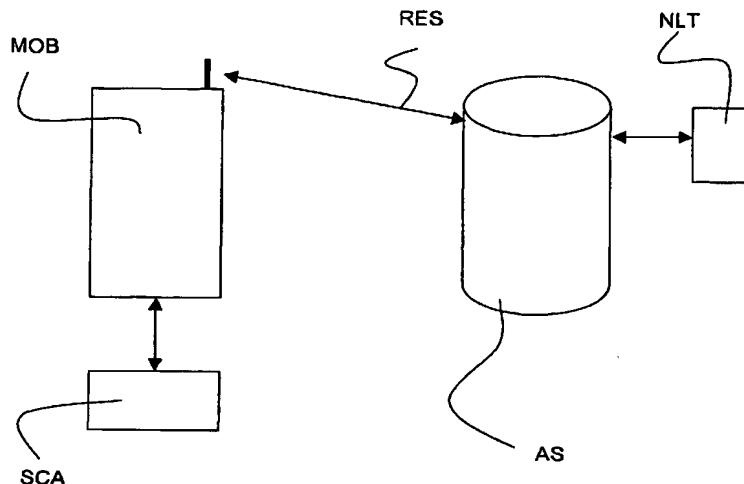
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[Continued on next page]

(54) Title: RETENTION OF OLD MOBILE NUMBER ON SIM CARD REPLACEMENT



(57) Abstract: The invention deals with the management of phone numbers attribution after replacement of a smart card (SCA), particularly a SIM card, by a new smart card (SCB). Said smart card (SCA) is coupled to a communication device (CD) being able to communicate with a network. Said smart card (SCA) stores at least one parameter (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to a first current phone number (MSISDN<sub>A</sub>). The following steps are performed in order to keep his old phone number when replacing his current card by a new one: A service-inserting step, in which the new smart card (SCB) storing at least one parameter (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to the second phone number (MSISDN<sub>B</sub>) is inserted in the communication device; A service-replacing step, in which an application server (AS) sends a message (M2) for replacing, in the new smart card (SCB), parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to the second phone number (MSISDN<sub>B</sub>) by parameters (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to the first phone number (MSISDN<sub>A</sub>); A service-using step, in which the user now uses the second smart card (SCB) with the phone number (MSISDN<sub>A</sub>) previously attached to the first smart card (SCA).

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## **Retention of old mobile number on SIM card replacement**

### **Technical field**

This invention relates, in general, to a communication system (and architecture thereof) and is particularly, but not exclusively, applicable to the management of the association of International Mobile Subscriber Identities (IMSI) and Mobile Subscriber Integrated Service Digital Network (MSISDN) numbers for cellular communication system architecture.

The solution described in the following sections is targeted at improving the GSM activation process in cases where an existing GSM subscriber seeks to replace his SIM card, but wishes to retain his / her old mobile number.

Any type of communication device can be coupled with the SIM card. This communication device can be onboard or not. Note that an onboard system is for example a mobile telephone, an electronic assistant, a portable computer, etc. In the other hand, a system which is not onboard can be a personal computer (PC), a Point of Sale (POS), etc.

The invention is not limited to SIM cards but can be extended to any emerging or future portable object whose use would be similar to that of the SIM card use. In the below description, the example which will be used to illustrate the invention will be that of a smart card coupled to mobile phone.

### **Prior Art**

A mobile number (MSISDN) is assigned to a SIM card (IMSI and Ki) in various elements of a GSM network. These network elements include, among others – Authentication Centre (AuC), Customer Care & Billing System (CCBS), Home Location Register (HLR), Mobile Switching Centre (MSC).

If an existing subscriber, for example identified by way of the following parameters ( $MSISDN_A$ ,  $IMSI_A$ ,  $Ki_A$ ) seeks a SIM card replacement and at the same time wishes to retain his old mobile number, the new card details (say  $IMSI_B$ ,  $Ki_B$ ) have to be assigned to his old number ( $MSISDN_A$ ).

- 5 Currently, re-assignment of old mobile number to a new SIM card is a cumbersome process involving manual intervention & processes on the part of the GSM operator. These manual processes imply wastage of effort, time and money. For the end user, the present process is inconvenient, as several manual checks have to be applied.

10

### Summary of the Invention

The proposed solution seeks to facilitate retention of old number in case of SIM card replacement.

- 15 To achieve this objective, according to the invention, the management of the phone numbers is performed according the following steps:
- A service-inserting step, in which the subscriber inserts a new smart card containing at least one parameter attached to the second phone number;
  - A service-replacing step, in which the application server sends a  
20 message (M2) for replacing, in the new smartcard, parameters attached to the second phone number by parameters attached to the first phone number,
  - A service-using step, in which a user uses the second smart card with parameters attached to the first smartcard.

25

With the invention, when the new card is inserted in the communication device, the new parameters attached to the new card are deleted/deactivated and replaced by the old parameters attached to the old card. So, we see that a user can keep his old phone number by way of a simple process.

In the drawings:

Figure 1 is a diagrammatic view of the architecture to which the invention can be applied.

- 5 Figure 2 illustrates the communication between the card to be replaced and the application server.

Figure 3 illustrates the communication between the new card and the application server.

10 **Description of an example illustrating the invention**

Figure 1 represents an example of a system to which the invention can be applied.

- 15 In our example, the system comprises the following elements:

- a card SCA
- a card SCB
- an application server AS.

- 20 In our example, initially, the card SCA is coupled to a mobile phone MOB. The mobile phone communicates with the application server AS by way of a network RES. In our example, the application server AS communicates with network elements NLT (HLR, AuC, Billing System, etc.).

- In our example, the network RES is a GSM network. This example is not  
25 limitative; in another embodiment, for example if the communication device is a point of sale, the network could be Internet or a direct phone line.

In our example, a subscriber wishes to replace his SIM card SCA (with IMSI<sub>A</sub>, Ki<sub>A</sub> assigned to MSISDN<sub>A</sub>). The subscriber purchases (or is given by the

operator under some promotion / loyalty scheme) a new SIM card SCB ( $IMSI_B$ ,  $Ki_B$ ). The new SIM card B, might (or might not) have an assigned mobile number ( $MSISDN_B$ ). In any case, this solution envisages new cards with pre-assigned mobile numbers.

5

The subscriber wants to replace his old card SCA by his new card SCB without changing his phone number  $MSISDN_A$ .

The old card SCA includes, amongst other data,

- 10
- the parameters  $IMSI_A$   $Ki_A$  which enable access to the network,
  - ADM Key<sub>A</sub> – administrative keys that allow update of data present in the card.

On the network (HLR, AuC, Billing System, etc.),  $MSISDN_A$  is assigned to this card SCA.

15

The new card SCB, that the subscriber wants to use, includes amongst other data,

- a couple  $IMSI_B$   $Ki_B$  which enable access to the network,
  - and ADM Key<sub>B</sub> – administrative keys that allow update of data present in
- 20 the card.

On the network (HLR, AuC, Billing System, etc.), the parameter  $MSISDN_B$  is assigned to the new card SCB.

25 In our example, the application server AS incorporates OTA (Over The Air) functionalities, i.e., the mechanism to send an APDU (embedded in a special SMS) to the card. When the SIM card receives such a SMS, it is interpreted accordingly and the embedded APDU is implemented by the card's operating system. In the present case, the embedded instructions include – verify key, update file data, etc. The server AS also has a module to interface securely with

network elements NLT (ex: HLR, Billing System, etc.) for getting details of cards SCA & SCB.

5 In our illustrated example, the process is the following (each step 1 to 4 is identified by a number which is also visible on figure 1). Figure 1 illustrates the communication steps between the card SCA and the application server AS.

Step 1:

10 With card SCA in the mobile, the subscriber sends a SMS including the new mobile number ( $MSISDN_B$ ) assigned to the new card SCB. The message is sent to a pre-defined number assigned to an Application server AS.

15 In our example, the subscriber simply goes to the "Messages" option on his / her mobile phone, types in a field the new parameter  $MSISDN_B$ , and sends the corresponding SMS to the number assigned to the Application server AS. All these steps could be printed on a brochure / flyer given along with new SIM card SCB, and the subscriber simply follows the instructions. Advantageously, some programs make sure that the user (who has bought the new card) is initiating the process, and that the new card SCB is a valid replacement card. Moreover, to get the details of the 2 cards on which the  
20 operation is to be performed.

Step 2:

25 Using information obtained from the origin ( $MSISDN_A$ ) and the content ( $MSISDN_B$ ) of the SMS, the application server AS requests the network for details corresponding to cards SCA and SCB. In our illustrated example, these details include the following sets of parameters ( $IMSI_A$   $Ki_A$   $ADM$   $Key_A$ ) and ( $IMSI_B$   $Ki_B$   $ADM$   $Key_B$ ) corresponding to  $MSISDN_A$  and  $MSISDN_B$ , respectively.

Depending on the network architecture & data policy, the Application Server AS, could be interfaced with one or several network elements (HLR, Billing

System, AuC). Or else, and if possible, the server AS could get details of SCA and SCB from a separate database managing SIM cards that is maintained by the operator for administrative purposes.

5 Step 3:

In our example, and for secure the transmission of data on the network, the Application server sends an encrypted SMS to MSISDNA (card SCA) which destroys the couple IMSI/KiA in the card. Preferably, the message is encrypted in using ADM KeyA. More specifically, this would imply updating IMSI and Ki  
10 values with data that is impertinent to the network. For all practical purposes, this would render the card unusable.

On the subscriber side, the message could be : « Now insert new card in handset . »

Generally, in OTA messages, there are 3 levels of security

- 15
- Signature (the sending entity should be acceptable by the card),
  - Encryption
  - and synchronization (there is an incrementing synchronization counter in the card, and the card will accept a special OTA message only if it contains the right synchronization count).

20 Depending on operator requirements, we could incorporate all or any of these features. Encryption is required particularly for end-to-end security. IMSI - Ki values being sensitive data, in our illustrated example, the operator might seek assurance on data integrity. On the card side, the necessary algorithm shall be embedded to allow processing (decryption) of encrypted  
25 messages received from the Application Server AS.

Before executing the following steps, the subscriber takes out the card SCA, and inserts the new card SCB in the phone.



**Step 4**

The new card SCB is not inserted in the phone MOB. In step 4, the subscriber now logs on to the network with the new mobile number (MSISDN<sub>B</sub>) assigned to the new card SCB.

5

**Step 5**

Using ADM KeyB, the Application server sends an encrypted message SMS to the new card SCB using the parameter MSISDN<sub>B</sub>. This message updates the couple IMSIB/KiB values in the new card SCB with the old one IMSIA/KiA corresponding to the card SCA to be replaced. In our example, the parameters (ADM,KeyB) are also updated with the parameters (ADM,KeyA) corresponding to the old card SCA and subsequently the card is also « refreshed ». In our example, the parameters ADM key is updated to permit future, if any, OTA administration of the card.

15

In our implementation, the message sent to the new card SCB during step 5 is preferably sent during step 3, at the same time that the message is sent to card SCA. However, the new card SCB will receive the message only after it has been inserted in the mobile phone.

20 **Step 6**

In step 6, upon « refresh », the mobile reads the updated values - IMSI<sub>A</sub> Ki<sub>A</sub>, and logs on to the network with the old phone number (MSISDN<sub>A</sub>).

In our illustrated example, ADM is updated. Nevertheless, this example is not  
25 limitative. Updating ADM is interesting for verifying the ADM key for updating files. Updating ADM can be avoided, by assigning old ADM key (of SCA) to new card SCB, on the network.

Generally, we have seen that during the service-information step, the communication device CD, while containing first smart card SCA, sends a message M1 to an application server AS, the message M1 including at least one parameter MSISDNB identifying the phone number assigned to second smart card SCB, which will be used to replace the first smart card SCA.

Before the service-inserting step, the application server AS sends a secure message M3 for deleting, in the first smart card SCA, parameters ( $IMSI_A$ ,  $ADM_A$ ,  $Ki_A$ ) attached to the first phone number  $MSISDN_A$ . So that, we are sure that this couple won't be reuse by another user.

The message M3 is encrypted, the encryption being performed by using an encryption key (belonging to the set of keys  $ADM_A$ ) attached to the first smart card SCA, and by using an algorithm that resides both on the Application Server AS, and on the smart card SCA.

In the same manner, we have seen that, for the service replacing step, the application server AS sends a secure message M3 to the new smart card SCB. The message M3 is encrypted, the encryption being performed by using an encryption key (belonging to the set of keys  $ADM_B$ ) attached to the new smart card SCB, and by using an algorithm that resides both on the Application Server AS, and on the smart card SCB.

By this way, all the data transiting between the card and the application server are secure. Moreover, the use of the encryption keys stored in the card avoids adding supplementary keys in the card and in the application server.

We have also seen that, after the for the service using step, the communication device logs on to the network using said new smart card (SCB) and said old parameters ( $MSISDN_A$ ,  $IMSI_A$ ,  $ADM_A$ ,  $Ki_A$ ).

The invention also deals with an application server (AS) which comprises a program able to perform some steps.

- 5 a. A receiving step, in which the server receives a message from said first portable object SCA, said message requesting a replacement of said first portable object by the second portable object;
- 10 b. A sending step, in which the application server AS sends, after the user has inserted said second portable object in said communication device, a message M2 for replacing, in said second portable object SCB, parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to the second phone number MSISDN<sub>B</sub> by parameters (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to the first phone number MSISDN<sub>A</sub>;

15 The invention also deals with a portable object SCB comprising parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to a phone number MSISDN<sub>B</sub> in particular a SIM card, characterized in that it comprises a microcontroller including a program for performing the following steps:

- 20 o A receiving step in which said microcontroller receives a request for modifying some parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to said phone number (MSISDN<sub>B</sub>) by new parameters (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to another first phone number (MSISDN<sub>A</sub>);
- 25 o A using step, in which, once the parameters are modified, the portable object uses new parameters when communicating with the network e.g. with any data processing system able to communicate with the card, for example the application server AS.

In our example, we have seen that the messages are SMS messages. This example is not limitative; all kind of messages (MMS, etc.) can be used for implementing the invention.

We see now that the invention offers various advantages. All GSM operators could use the solution. In our illustrated example, the solution uses SMS for seamless mobile number retention. This makes it convenient for the user. He  
5 could do the SIM card replacement sitting at home. This solution also avoids modifying network components. All is performed using Over The Air (OTA) changes on the SIM card(s). Moreover, the invention is based on a secure message based process.

**Claims**

- 1) Method for managing phone numbers attribution after replacement of a first portable object (SCA), particularly a SIM card, by a second portable object (SCB), said first portable object (SCA) being coupled to a communication device (CD) being able to communicate with a network, said first portable object (SCA) storing at least one parameter (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to a first current phone number (MSISDN<sub>A</sub>), characterized in that it comprises the following steps:
  - 2) A service-inserting step, in which the second portable object (SCB) storing at least one parameter (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to the second phone number (MSISDN<sub>B</sub>) is inserted in the communication device (CD);
  - 3) A service-replacing step, in which an application server (AS) sends a message (M2) for replacing, in the second portable object (SCB), parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to the second phone number (MSISDN<sub>B</sub>) by parameters (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to the first phone number (MSISDN<sub>A</sub>);
  - 4) A service-using step, in which the user now uses the second portable object (SCB) with the phone number (MSISDN<sub>A</sub>) previously attached to the first portable object (SCA).
- 5) Method according to claim 1, characterized in that, for the service-information step, the communication device (CD), while containing first portable object (SCA), sends a message (step 1) to an application server (AS), this message including at least one parameter (MSISDN<sub>B</sub>) identifying the phone number assigned to said second portable object (SCB), which will be used to replace the first portable object (SCA).

6) Method according to claim 1, characterized in that, before the service-inserting step, the application server (AS) sends a secure message (step 3) for deleting, in the first portable object (SCA), parameters (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to the first phone number (MSISDN<sub>A</sub>).

5

7) Method according to claim 3, characterized in that the message (M3) is encrypted, the encryption being performed by using an encryption key attached to the portable object (SCA), and by using an algorithm that resides both on the Application Server (AS), and on the portable object (SCA).

10

8) Method according to claim 1, characterized in that, for the service replacing step, the application server (AS) sends a secure message (M3) to said second portable object (SCB).

15

9) Method according to claim 5, characterized in that the message is encrypted, the encryption being performed by using an encryption key attached to the second portable object (SCB), and by using an algorithm that resides both on the Application Server (AS), and on the second portable object (SCB).

20

10) Method according to claim 1, characterized in that, for the service using step, the communication device (CD) logs on to the network using said second portable object (SCB) and said old parameters (MSISDN<sub>A</sub>, IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>).

25

11) Application server (AS) able to communication with a communication device (CD), said server storing all the parameters attached to at least two portable objects, a first portable object (SCA) to be replaced and a

second portable object (SCB), characterized in that it comprises a program able to perform the following steps:

- 5           a. A receiving step, in which the server receives a message from said first portable object (SCA), said message requesting a replacement of said first portable object by the second portable object;
- 10          b. A sending step, in which the application server (AS) sends, after the user has inserted said second portable object in said communication device, a message (M2) for replacing, in said second portable object (SCB), parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to the second phone number (MSISDN<sub>B</sub>) by parameters (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to the first phone number (MSISDN<sub>A</sub>);
- 15          12) A portable object (SCB) comprising parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to a phone number (MSISDN<sub>B</sub>) in particular a SIM card, characterized in that it comprises a microcontroller including a program for performing the following steps:
  - 20           a. A receiving step in which said microcontroller receives a request for modifying some parameters (IMSI<sub>B</sub>, ADM<sub>B</sub>, Ki<sub>B</sub>) attached to said phone number (MSISDN<sub>B</sub>) by new parameters (IMSI<sub>A</sub>, ADM<sub>A</sub>, Ki<sub>A</sub>) attached to another first phone number (MSISDN<sub>A</sub>);
  - 25           b. A using step, in which, once the parameters are modified, the portable object uses new parameters when communicating with the network (AS).

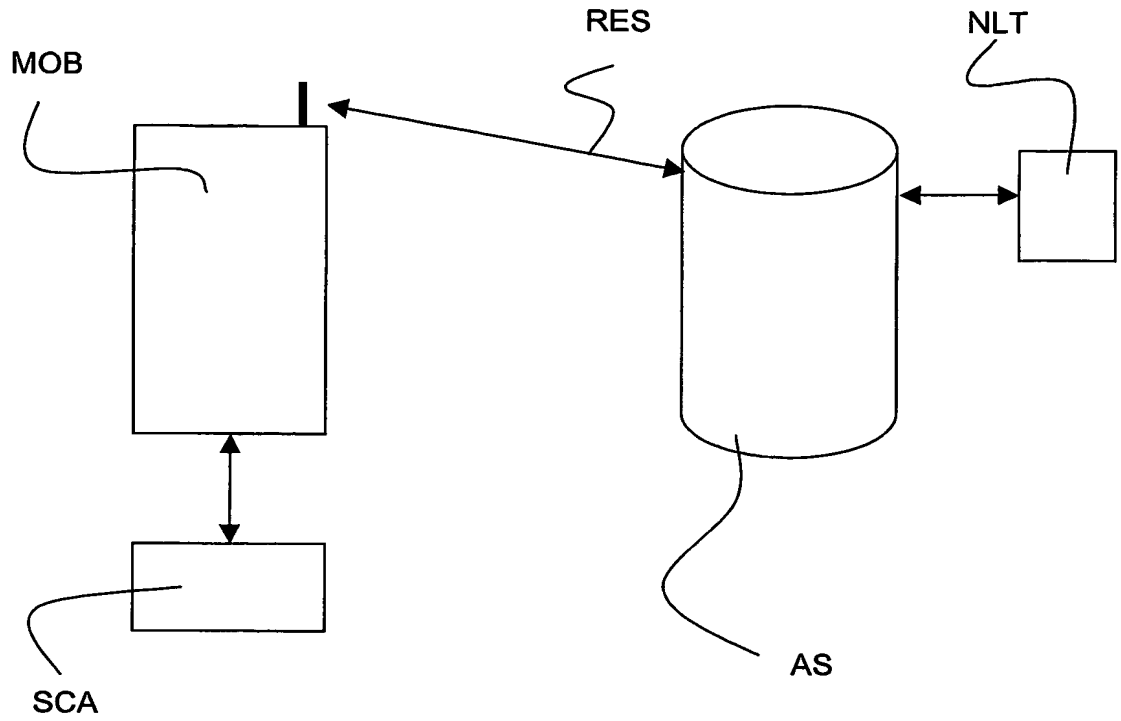


Figure 1

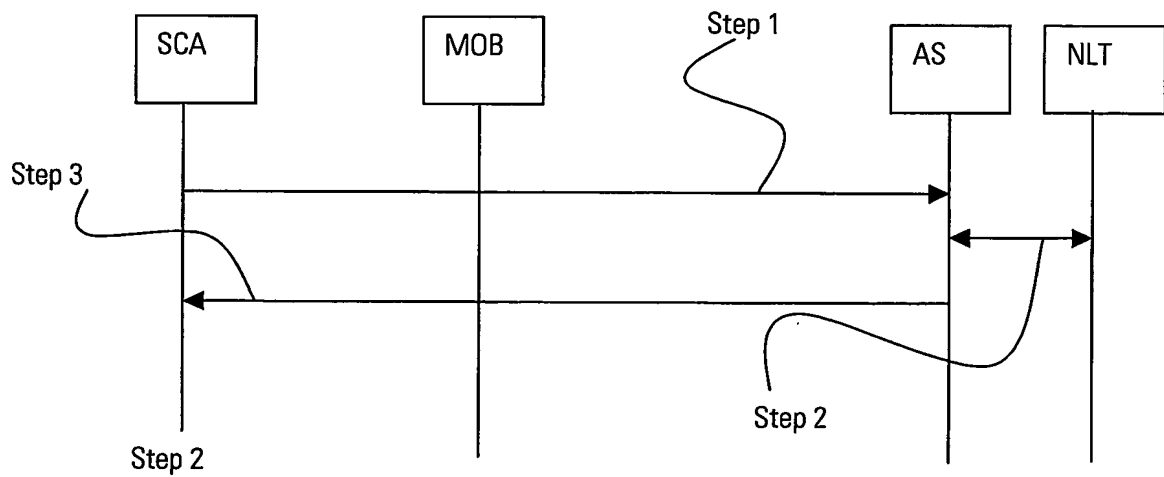


Figure 2



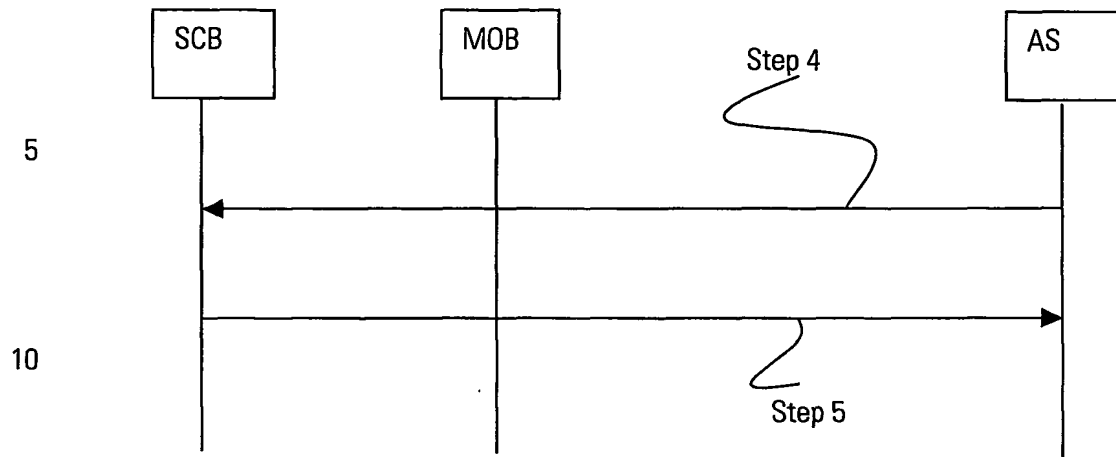


Figure 3

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/IB/2004/004563

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04Q7/32

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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